Docket No.: 052363-0031 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Katsuo KAZAHAYA, et al. : Confirmation No. 7544

Application No.: 10/566,633 : Group Art Unit: 1794

Filed: January 31, 2006 : Examiner: Miller, Daniel H.

For: DIAMOND COATED TOOL AND METHOD OF MANUFACTURING THE SAME

## **DECLARATION UNDER 37 C.F.R. § 1.132**

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

WDC99 1714351-1.052363.0031

Sir:

- I, Katsuo Kazahaya, hereby declare and say as follows:
  - 1. I am the first named inventor of the above captioned application.
  - 2. My Curriculum Vitae is attached.
- 3. I am a person having ordinary skill in this art as evidenced by my *Curriculum Vitae*.
- 4. I have read and am familiar with the disclosure and presently pending claims of the above-captioned application, as well as issues raised in the final Office Action mailed by the U.S. Patent & Trademark Office on December 23, 2008, as well the Advisory Action dated April 3, 2009.
- 5. The structure of the diamond coating of Phillips reference can be restated simply as "a single crystal structure." The following experimental evidence, conducted under my

direction and under my supervision, demonstrates that Phillips' diamond coating has a single crystal structure, whereas diamond coating of the present application has a "double crystal structure". The result of Raman spectroscopic analysis most obviously shows a difference between the crystal structure of the diamond coating of the present application and that of the Phillips reference. The result of Raman spectroscopic analysis on the diamond coating of the present application shows a local peak in the vicinity of  $1100\sim1150$  cm<sup>-1</sup>. See FIGS. 9 and 10 of the present specification. This peak is one of the physical values specifying the "double crystal structure." By contrast, the result of Raman spectroscopic analysis on the diamond coating of Phillips does not show data in the vicinity of  $1100\sim1150$  cm<sup>-1</sup>. See FIG. 5 of Phillips. This is probably due to the fact that a distinctive peak does not exist in the vicinity.

6. The significance of the Raman spectroscopic analysis is now explained. At my direction and under my supervision, the followings test was conducted which demonstrates that a difference of crystal structure is reflected in a result of Raman spectroscopic analysis. Since Phillips does not teach a producing condition in detail, a diamond coating is formed under a condition adjusted so as to obtain a result which is similar to the result shown in FIG. 5 of Phillips. FIG. 1, reproduced on the following page, is a graph showing a result of Raman spectroscopic analysis of a diamond coating obtained in the test. FIG. 2, also reproduced on the following page, is a SEM photograph showing a cross-sectional surface of the same diamond coating.

FIG. 1

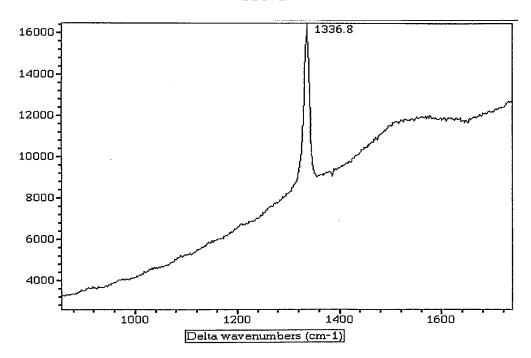
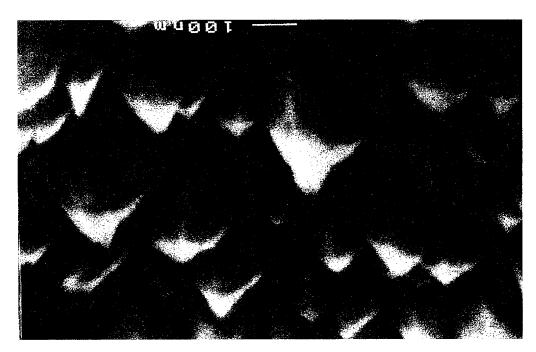


FIG. 2



7. Referring to FIG. 1 above, while there is a peak in the vicinity of 1330 cm<sup>-1</sup>, a local peak does not appear in the vicinity of 1100~1150 cm<sup>-1</sup>, unlike FIGS. 9 and 10 of the

present application. This experimental result indicates that the diamond coating produced in the

test has a crystal structure similar to that described in the Phillips patent.

8. Turning attention to FIG. 2 above, the SEM photograph has a scale of nanometer

order, that is, FIG. 2 shows an enlarged equivalent of diamond grains of the diamond coating

shown in FIG. 4 (micrometer order) of Phillips. Moreover, FIG. 2 (above) has the same scale

as FIG. 7 of the present application (a SEM photograph showing diamond fine grains of the

present subject matter). Therefore, by observing FIG. 2 (above) and FIG. 7 of the present

application, a comparison of the crystal structure can be made between the diamond coating of

Phillips and that of the present claimed subject matter. In fact, the differences are readily

apparent when these two figures are compared. More importantly, it is clear that the diamond

coatings of Phillips and the present application are totally different in terms of their crystal

structure.

9. The undersigned hereby declares that all statements made herein based upon

knowledge are true, and that all statements made based upon information and belief are believed

to be true; and further, that these statements were made with the knowledge that willful false

statements and the like so made are punishable by fine or imprisonment, or both, under Section

1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize

the validity of the application or any patent issued thereon.

Date

Katsuo Kazahaya

Katsuo Kazahaya

April 29, 2009

## 職務経歴書

1. 氏 名 : 風早 克夫

2. 生年月日 : 1972年4月17日

3. 入社年月日 : 1997年4月 1日

4. 住 所 : 兵庫県三田市高次2-1-41三田レジデンスヒル204号

5. 学 歴 : 1997年3月 龍谷大学大学院理工学研究科卒業

6. 職 歴 : 1997年4月 大阪ダイヤモンド工業株式会社入社

(現 株式会社アライドマテリアル入社)

1997年9月~1999年7月 CVD開発室勤務

1999年7月~ 現在

工具研究部CVD開発グループ勤務

7. 業務内容 : 上記の間、主としてCVDダイヤモンド被覆工具の研究・開発に

従事

上記の通り証明いたします。

2009年5月8日 東京都港区芝1-11-11 株式会社アライドマテリアル 専務取締役 島岡 宏行

## Curriculum Vitae

- 1. Name: Katsuo Kazahaya
- 2. Date of birth: April 17, 1972
- 3. Date of joining a company: April 1, 1997
- 4. Address: 204 Sanda Residence-Hill, 1-41, Takasugi 2-chome, Sanda-shi, Hyogo
- 5. Education: March 1997, Graduated from Graduate School of Science and Technology, Ryukoku University
- 6. Work Experience:

April 1997, Joined Osaka Diamond Industrial Co., Ltd. (a predecessor company of A. L. M. T. Corp.)

September 1997 - July 1999, Worked in CVD Development Department July 1999 - Present, Worked in CVD Development Group in Tool Research Department Division

7. Work contents: Mainly engaged in a research and development of CVD diamond coated cutting tools during the periods noted above.

This is to certify the above.

May 8, 2009
11-11, Shiba 1-chome, Minato-ku, Tokyo
A. L. M. T. Corp.
Senior Managing Director Hiroyuki Shimaoka